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01/05/01
jc962 U.S. PTO

Patent
Attorney Docket: 256/158

jc915 U.S. PTO
09/755763
01/05/01

To: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): David R. Williams and Kathie S. Richter

Title: PEN-BASED HANDWRITTEN CHARACTER RECOGNITION AND
STORAGE SYSTEM

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

- 35 Page(s) of Written Description
- 15 Page(s) Claims
- 1 Page(s) Abstract
- 18 Sheets of Drawings ☐ Informal ☒ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☐ Declaration
- ☐ Power of Attorney ☐ Separate ☐ Combined with Declaration
- ☐ Assignment to _____ and assignment cover sheet
- ☐ Certified Copy of Priority Document No(s):
- ☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations
- ☐ Preliminary Amendment
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
- ☒ Return Postcard
- ☐ Other:

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL360422741US
Express Mail Label No.

January 05, 2001
Date of Deposit

Reynaldo Gallardo
Name of Person Mailing Paper

[Signature]
Signature of Person Mailing Paper

III. PRIORITY

- ☒ Priority of provisional application Serial No. 60/175,127 filed on January 6, 2000 in the USPTO is claimed under 35 .S.C. § 119.

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

- ☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

BASIC FILING FEE:							\$710.00
Total Claims	72	-	20	=	52	x \$18.00	\$936.00
Independent Claims	3	-	3	=	0	x \$80.00	\$0.00
Multiple Dependent Claims	\$270	(if applicable)				<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS							\$1,646.00
Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.							<input checked="" type="checkbox"/> \$0.00
Misc. Filing Fees (Recordation of Assignment -- \$40)							\$0.00
TOTAL FEES DUE HERewith							\$823.00

V. METHOD OF PAYMENT OF FEES

- ☒ A check in the amount of \$823.00.
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of _____.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☒ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

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Please direct all inquiries to David H. Ben-Meir, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: January 5, 2001

By: 

David H. Ben-Meir
Reg. No. 46,152